

Certificate of Trustee(s) Authority To Open and Administer Securities Accounts (Only to be used for Non-ERISA Trusts)

- Complete all sections, sign and return.
- Unless otherwise requested, please attach only the first actual page (not title page), the signature page(s) and any relevant pages of the trust agreement to confirm the identity of the trustees.

Account Number(s)

Section A.

1. **Name of Trust:** _____ Advisor (if applicable): _____

2. **Date of Trust:** _____

3. **Trust governed by laws of (State/Province and Country):** _____

4. **Name of Trustee(s):**

Section B.

THE UNDERSIGNED TRUSTEES HEREBY CERTIFY THAT:

1. **This trust is (check one):** Revocable Living Trust where a Grantor is a current Trustee
 Other Revocable Living Trust Irrevocable Testamentary Other: _____

2. **Opening and Administering of Securities Accounts.** The trust agreement for the trust authorizes the trustees to open and administer securities account(s) on behalf of the trust. All documentation may be executed by (check one only):

any trustee individually the following trustee(s) (print names): _____ Check box if joint signatures required:

all trustees jointly _____

a majority of the trustees jointly _____

We, the trustees, understand that you, as the broker-dealer and/or your clearing agent, reserve the right to require the joint action of all trustees with respect to any activity relating to the trust account.

3. **Investment Decision Making.** The trust agreement for the trust authorizes investment decisions to be made by (check one):

any trustee individually the following trustee(s) (print names): _____ Check box if joint signatures required:

all trustees jointly _____

a majority of the trustees jointly _____

4. **Third Party Investment Decision Making.** In addition to the trustees, as described above, we, the trustees, represent and warrant that, the trust (check one):

does* (specify below) does not

authorize the trustees, under the trust agreement and applicable law, to appoint or hire account executives and/or investment advisors or money managers to manage and have discretion with respect to the assets of the trust. The trust agreement for the trust authorizes investment decisions to be made by (check at least one):

An Investment Advisor or Money Manager.

An Attorney-in-fact (do not check if representation 10 provides to the contrary).

The account executive for this account who has discretion over the account.

* Additional documentation required.

5. Permitted Investments.

a. We, the trustee(s), represent and warrant that (a) we have broad investment powers under the Trust Agreement and applicable law to enter into transactions, purchase, sell and hold investments, including but not limited to, stocks, bonds, mutual funds, certificates of deposit, unit investment trusts, annuities, limited partnerships and government securities, (b) there are no investment restrictions applicable to the trust and (c) any instruction we give pertaining to the Account is authorized by the Trust Agreement.

b. **Option Trading.** The trust agreement for the trust (check one):

does* (specify below) does not

authorize the trustee(s) to trade in options including but not limited to the following option strategies:

covered options long options uncovered options

6. Borrowing Money and Pledging Collateral. The trust agreement for the trust (check one):

does does not

authorize the trustees to borrow against the loan value of the marginable and non-marginable securities in its account, to pledge such securities as collateral, and to lend such securities.

7. Buying Securities on Margin. The trust agreement for the trust (check one):

does does not

authorize the trustees to open and maintain a margin account and, in conjunction therewith, to buy any of the above- indicated financial instruments on margin.

8. Selling Securities Short. The trust agreement for the trust (check one):

does* does not

authorize the trustees to sell short any of the above-indicated financial instruments.

(*must be consistent with representations 6 and 7 above).

9. Distributions. The trust agreement for the trust (check one box for option (a)):

a. does does not

authorize the trustees to make distributions to ourselves, the beneficiaries and other third parties as we may direct from the trust;

Check, if applicable:

b. The trust agreement for the trust authorizes the trustees to use a checkbook and/or debit card to make such distributions.

10. Power of Attorney. The trust agreement for the trust (check one):

does does not

authorize the trustees to appoint an attorney-in-fact to act on behalf of the trust.

The undersigned trustees further represent and warrant that the certifications contained herein are true, accurate and consistent with the purposes and investment objectives of the trust. We, the trustees, acknowledge and agree that you will be relying solely on our certification herein, and that you will not make any review or interpretation of any trust documents for matters relating to such certifications and operations of the account. The undersigned trustees jointly and severally agree to indemnify you, as the broker-dealer and/or your clearing agent, its affiliates and subsidiaries and hold you harmless from any losses, expenses, penalties, claims or liabilities (including reasonable attorney's fees) that may arise out of your acting in reliance on the representations contained in this certification. This indemnification shall survive the termination of the trust and/or the trust account.

We, the trustees, agree to promptly inform you, by executing an updated Certificate of Trustees form, of any amendment to the trust, any change in the composition of the trustee(s), or any event which could alter the certifications made herein. We understand and agree that you and/or your clearing agent may at any time, require the trustees to provide additional documentation. This certification shall remain in full force and effect in all respects until the close of business on the second business day after JP Morgan receives written notice of the modification or revocation of this Certificate.

Signature of Trustees (All trustees must sign this certification).

Dated _____, 20 _____
Insert Date

X

Signature

Typed or Printed Name

X

Signature

Typed or Printed Name

X

Signature

Typed or Printed Name

X

Signature

Typed or Printed Name

X

Signature

Typed or Printed Name

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Signature

Typed or Printed Name