

# Account Transfer Form

Use this form to authorize the transfer of assets into your Bear Stearns account. Complete one form for each account being transferred.

**1. Information About Your Account.** The registration of the account being transferred must match your Bear Stearns account (i.e., IRA to IRA).

**BEAR STEARNS ACCOUNT**

**0352**

Bear Stearns Account Number (If available) BSSC Clearing No.

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Account Holder Name/Account Title

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Primary Social Security or Tax Identification Number

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Joint Account Owner (if applicable) Second SS # (if applic.)

**ACCOUNT BEING TRANSFERRED**

Account Number Broker Clearing No.

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Title of Account You are Transferring (as shown on your statement)

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Name of Firm currently holding your account

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Address of Firm

**2. Transfer Instructions: Complete section A or B and, if applicable, section C. A copy of your most recent statement is required.**

A.  **Transfer from a Brokerage Firm only. Select one:**  Transfer all assets in kind  Partial transfer (Complete section C below.)

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B.  **Transfer from a Bank, Mutual Fund, or Credit Union only**

Transfer all assets in kind  Partial transfer (Complete section C below.)  Liquidate all assets and transfer as cash (Additional steps may be required, contact your Financial Professional.)

Transfer proceeds from Certificates of Deposit AT MATURITY (Submit no earlier than 30 days prior to maturity.)  Liquidate Certificates of Deposit IMMEDIATELY. I am aware of and acknowledge the penalty I will incur from an early withdrawal.

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C.  **Partial Transfer Instructions: Transfer only a portion of my account, as detailed below** (continue on a separate sheet if needed).

Quantity	Symbol or CUSIP #	Description of Asset	Cash Balance (Indicate credit or debit)
			\$

**To the Delivering Firm named above:**

If this account is a qualified retirement account, I have amended the applicable plan so that it names as successor custodian the below listed trustee. Unless otherwise indicated in the instructions above, please transfer all assets in my account to Bear, Stearns Securities Corp. I understand that to the extent any assets in my account are not readily transferable with or without penalties, such assets may not be transferred within the timeframes required by NYSE Rule 412 or similar rule of the NASD or other designated examining authority.

Unless otherwise indicated in the instructions below, I authorize you to liquidate any non-transferable proprietary money market fund assets that are part of my account and transfer the resulting credit balance to the successor custodian. I authorize you to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due to you, I authorize you to liquidate the assets in my account to the extent necessary to satisfy that obligation. If certificates or other instruments in my account are in your physical possession, I instruct you to transfer them in good deliverable form, including affixing any necessary tax waivers, to enable the successor custodian to transfer them in its name for the purpose of sale, when and as directed by me. I understand that upon receiving a copy of this transfer instruction, you will cancel all open orders for my account on your books.

I affirm that I have destroyed or returned to you credit/debit cards and/or unused checks issued to me in connection with my securities account.

I understand that I will be contacted with respect to the disposition of any assets in my securities account that are non-transferable.

**Age 70-1/2 Restrictions – The following restrictions apply to a retirement account transfer.**

If I am over 70-1/2, I attest that none of the amount to be transferred will include the required minimum distribution for the current year pursuant to Section 401(a)(9) of the Internal Revenue Code. If necessary, instruct your present custodian prior to effecting this transfer to either: **1)** Pay your required minimum distribution to you now **OR 2)** Retain that amount there for distribution to you later.

**3. Owner Authorization and Agreement to Terms.** By signing below, I (we) acknowledge reading and agree to the above terms and conditions.

<b>Owner/Custodian/Trustee Signature</b> <b>X</b>	<b>Joint Owner Signature (if any)/Plan Administrator/Trustee</b> <b>X</b>	<b>Date (Required)</b>
Print Name <span style="margin-left: 100px;">Title/Role</span>	Print Name <span style="margin-left: 100px;">Title/Role</span>	

**Letter of Acceptance**

Subject to the by-laws and rules of the N.S.C.C.

BEAR STEARNS SECURITIES CORP. ("BSSC") will accept the above captioned account as SUCCESSOR CUSTODIAN/TRUSTEE. BSSC does not accept and will not act as Custodian/ Trustee of and for any assets and/or positions which are not actually transferred to BSSC and BSSC specifically does not approve any notation on the books and records of any entity, including but not limited to any mutual fund company, that indicates, implies and/or states that BSSC is the custodian/trustee of any assets held away from BSSC.

Successor Custodian Signature (BSSC Receiving Custodian Completes)	Date	Contact: <b>Bear, Stearns Securities Corp. ACAT Dept.</b> <b>Telephone No. 347-643-2944</b>	
Name of Registered Representative	Branch	R.R. No.	Telephone No.

**For Bear Stearns/Broker Use Only** (v.3 08/2006) Form# 449

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C = Cash/Mgn     4K = 401K     QP = Qual/PS/Pen/Plan     **Broker Change Only**  
 CO = Corporate     CU = Custodian (UGMA)     DR = Direct Roller     IR = IRA     Non-ACAT     SN = Single  
 TR = Trust     JT = Joint     EI = Educational     RI = Roth IRA